

## CTUIR USE ONLY

DATE RECEIVED:

WQ CERTIFICATION APPLICATION NUMBER:

CIRCULATED BY (GOV'T OR AGENCY):



**CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION**  
**DEPARTMENT OF NATURAL RESOURCES**  
**WATER RESOURCES PROGRAM**  
**SECTION 401 WATER QUALITY CERTIFICATION APPLICATION**

**ALL APPLICANTS AND AGENTS MUST SIGN SECTION 9 (SIGNATURE BLOCK) FOR THIS CERTIFICATION APPLICATION**

<b>1. APPLICANT INFORMATION (PROJECT OWNER)</b>		<b>2. AGENT INFORMATION – IF AGENT IS ACTING FOR APPLICANT</b>
NAME OF APPLICANT (PROJECT OWNER)	NAME OF APPLICANT'S AGENT	
MAILING ADDRESS (STREET/PO BOX/RURAL ROUTE, CITY, STATE, ZIP)	MAILING ADDRESS (STREET/PO BOX/RURAL ROUTE, CITY, STATE, ZIP)	
DAYTIME TELEPHONE NUMBER	DAYTIME TELEPHONE NUMBER	
FAX NUMBER (OPTIONAL)	FAX NUMBER (OPTIONAL)	
E-MAIL ADDRESS (OPTIONAL)	E-MAIL ADDRESS (OPTIONAL)	
APPLICANT CONTACT PERSON, IF DIFFERENT THAN ABOVE	CONTACT PERSON (REQUIRED)	
NAME, ADDRESS, AND PHONE NUMBER OF <u>PROPERTY OWNER(S)</u> , IF OTHER THAN APPLICANT:		
NAME, ADDRESS, AND PHONE NUMBER OF ALL ADJACENT PROPERTY OWNER(S) (ATTACH OTHER SHEETS AS NECESSARY)		
<b>3. FEDERAL PERMITS</b>		
FEDERAL PERMITTING AGENCY	FEDERAL PERMIT THE APPLICANT HAS REQUESTED (# IF APPLICABLE):	
FEDERAL AGENCY CONTACT NAME	DAYTIME TELEPHONE NUMBER / FAX NUMBER (OPTIONAL)	
MAILING ADDRESS (STREET/PO BOX/RURAL ROUTE, CITY, STATE, ZIP) AND E-MAIL ADDRESS		

<b>APPLICANT MUST ANSWER ALL OF THE FOLLOWING QUESTIONS CONTAINED IN SECTIONS 4 THROUGH 6 THAT APPLY TO YOUR PROJECT. PROVIDE ADDITIONAL SHEETS AS NECESSARY. (IF PROJECT INCLUDES MULTIPLE SITES, SEPARATE APPLICATION FORMS FOR EACH SITE SHOULD BE SUBMITTED.)</b>					
<b>4. PROJECT LOCATION</b>					
PROJECT NAME OR TITLE (IF APPLICABLE)		PROJECT STREET ADDRESS (IF APPLICABLE) AND/OR RIVER MILE, GPS POSITION			
U.S.G.S. QUADRANGLE MAP NAME (TOPOGRAPHIC MAP)		ALLOTMENT OR TAX LOT NO.		ZONING DESIGNATION	
TYPE AND /OR NAMES OF WATER BODIES THAT WILL BE IMPACTED (AQUIFER, DITCH, LAKE, RESERVOIR, STREAM, WETLAND)		QUARTER/QUARTER SECTION	QUARTER SECTION	SECTION	TOWNSHIP
OTHER INFORMATION, IF KNOWN: WATERSHED, STREAM TYPE, AND TRIBUTARY OF:					
<b>5. PROJECT PURPOSE AND DESCRIPTION</b> ATTACH A SEPARATE SHEET IF ADDITIONAL SPACE IS NEEDED.					
DESCRIBE CURRENT USE OF THE PROPERTY, EXISTING STRUCTURES ON THE PROPERTY, AND CURRENT ENVIRONMENTAL CONDITIONS (NOXIOUS WEEDS, UNVEGETATED BANKS, CHANNELIZED WATERWAY, ETC.). HAVE YOU CONDUCTED ANY PORTION OF THE PROPOSED ACTIVITY ON THIS PROPERTY? <input type="checkbox"/> NO <input type="checkbox"/> YES: FOR ANY PORTION OF THE PROPOSED ACTIVITY ALREADY STARTED ON THIS PROPERTY, INDICATE MONTH AND YEAR COMMENCED _____ INDICATE ANY EXISTING WORK ON REQUIRED DRAWINGS, SEE SECTION 7.					
IS PROJECT ON TRIBAL TRUST LANDS OR LANDS ADMINISTERED BY BIA, OR CTUIR? _____ IF THE PROPERTY IS AGRICULTURAL LAND, ARE YOU A USDA PROGRAM PARTICIPANT? _____					
IS THIS PROJECT INTENDED TO RESTORE OR IMPROVE WATER QUALITY OR AQUATIC RESOURCES? <input type="checkbox"/> NO <input type="checkbox"/> YES: IF YES, EXPLAIN HOW WATER QUALITY, STREAM/WETLAND FUNCTION OR BENEFICIAL USES WILL BE IMPROVED AND MAINTAINED. SUPPORTING RESTORATION OR MITIGATION PLAN(S) MUST ALSO BE SUBMITTED, SEE SECTION 8.					
ANTICIPATED START DATE OF PERMITTED PROJECT CONSTRUCTION		ANTICIPATED COMPLETION DATE OF PERMITTED PROJECT CONSTRUCTION			
HAVE THERE BEEN ANY REQUESTS FOR OTHER PERMITS, APPLICATIONS OR APPROVALS FOR ACTIVITIES ASSOCIATED WITH THIS PROJECT FROM OTHER AGENCIES OR CTUIR DEPARTMENTS? _____ WHICH, IF ANY, HAVE BEEN APPROVED _____ OR DENIED _____? (TRIBAL HISTORIC PRESERVATION, BIA LEASES, DEPT OF TRANSPORTATION PROJECTS, FOR INSTANCE)					
DESCRIBE PROJECT IMPLEMENTATION AND OPERATION ACTIVITIES, INCLUDING VEGETATION REMOVAL OR ALTERATIONS, CONSTRUCTION EQUIPMENT TYPE AND MAINTENANCE PROTOCOLS, WORKYARD/STAGING SITE ACTIVITIES, TRASH AND WASTE MANAGEMENT, ON-SITE STORAGE AND MANAGEMENT OF EQUIPMENT FUEL AND OTHER CHEMICALS, SOURCE OF WATER DIVERSIONS, WELLS, PUMPS, DISCHARGES AND LONG-TERM PROJECT MAINTENANCE.					
COMPLETE PLANS AND SPECIFICATIONS MUST BE PROVIDED FOR ALL WORK WATERWARD OF THE ORDINARY HIGH WATER MARK OR LINE (SEE SECTION 7). IF YOU HAVE PROVIDED ATTACHED DOCUMENTS TO DESCRIBE YOUR PROJECT, YOU STILL MUST SUMMARIZE THE PROPOSED WORK HERE.					

## 6. WATER RESOURCE/WATER QUALITY INFORMATION

ATTACH SEPARATE SHEETS IF ADDITIONAL SPACE IS NEEDED.

WHAT ARE THE LINEAR FEET OF IMPACTS TO THE WATERBODY BELOW THE ORDINARY HIGH WATER MARK?

WILL ANY TEMPORARY OR PERMANENT STRUCTURES BE PLACED?  NO  YES:

- WATERWARD OF THE ORDINARY HIGH WATER LINE FOR SURFACE WATERS; AND/OR
- ON THE STREAM BANK, RIPARIAN ZONE, OR FLOOD PLAIN

WHAT IS THE ACREAGE OR SQUARE FOOTAGE OF GROUND DISTURBANCE, AND ACREAGE OF WATER RESOURCES (INCLUDE SURFACE AND GROUND WATERS) THAT ARE AFFECTED BY PROPOSED ACTIVITY?

**NOTE: STORMWATER MANAGEMENT/NPDES:** If your construction project disturbs more than 1 acre of ground you must apply for an NPDES general construction permit from EPA.

DESCRIBE THE SOURCE, TYPE, COMPOSITION AND QUANTITY (IN CUBIC YARDS) OF FILL MATERIAL TO BE PLACED IN, AND/OR REMOVED FROM THE WETLAND OR BELOW THE ORDINARY HIGH WATER MARK.

FILL:

REMOVAL:

DESCRIBE THE PHYSICAL, BIOLOGICAL, CHEMICAL, THERMAL OR OTHER CHARACTERISTICS, CONSTITUENTS AND CONCENTRATIONS OF THE INPUTS, OR DISCHARGES WHICH MAY RESULT FROM ANY ACTION RELATED TO THE PROPOSED LICENSE OR PERMIT. DESCRIBE THE METHODS PROPOSED TO CONTROL TURBIDITY AND SEDIMENTATION OR MONITOR IMPACTS TO QUALITY AND QUANTITY OF THE WATERS OF THE RESERVATION. PROVIDE ANALYSES, PLANS, OR PROOF AS SUPPORTING DOCUMENTATION, SECTION 8.

DESCRIBE THE POTENTIAL DIRECT, INDIRECT OR CUMULATIVE IMPACTS TO BENEFICIAL USES AND/OR WATER QUALITY OF THE WATER BODY, DUE TO THE CONSTRUCTION AND OPERATION OF THE PROPOSED PERMITTED ACTIVITY, AND IDENTIFY ACTIONS YOU'RE PROPOSING THAT AVOID, MINIMIZE, AND MITIGATE THOSE DETRIMENTAL IMPACTS, AND PROVIDE PROPER PROTECTION OF FISH AND AQUATIC LIFE. IDENTIFY WHICH GUIDANCE DOCUMENTS YOU HAVE USED. ("BENEFICIAL USES" MAY INCLUDE FISH AND AQUATIC LIFE, WATER QUALITY, WATER SUPPLY, RECREATION, AND AESTHETICS.) INCLUDE MITIGATION PLAN(S) AS SUPPORTING DOCUMENTATION, SECTION 8.

## 7. DRAWING/PLAN REQUIREMENTS

**PREPARATION OF DRAWINGS.** ONE SET OF ORIGINAL OR GOOD QUALITY REPRODUCIBLE DRAWINGS **MUST BE ATTACHED.** NOTE: APPLICANTS ARE ENCOURAGED TO SUBMIT PHOTOGRAPHS OF THE PROJECT SITE, BUT THESE DO NOT SUBSTITUTE FOR DRAWINGS

ONE SET OF DRAWINGS ARE REQUIRED FOR EACH PROJECT PLAN INCLUDING:

- VICINITY MAP - SCALE NOT LESS THAN 2 INCHES PER MILE.
- PLAN VIEW - SCALE NOT SMALLER THAN 1 INCH PER 200 FEET.
- CROSS-SECTIONAL VIEW.

DRAWINGS SHOULD INCLUDE:

- NORTH ARROW, SCALE, PROPERTY BOUNDARIES.
- INCLUDE WETLAND DELINEATION BOUNDARY (IF APPLICABLE), AND LABEL THESE AND ALL OTHER ELEMENTS APPROPRIATELY.
- LOCATION OF ALL SURFACE WATERS, INCLUDING WETLANDS, PROPOSED WORKS, EROSION CONTROL MEASURES, EXISTING STRUCTURES, DISPOSAL AREAS FOR EXCAVATED MATERIAL, FILL LOCATIONS, INCLUDING QUANTITIES, AND WETLAND MITIGATION (IF APPLICABLE).
- APPROXIMATE WATER DEPTHS AND BOTTOM CONFIGURATIONS (IF APPLICABLE).
- MAPS OR DRAWINGS OF GREATER SCALE THAN NOTED ARE PERMISSIBLE WHEN DETAILING ITEMS OF IMPORTANCE TO WATER QUALITY.

## 8. SUPPORTING DOCUMENTATION (CHECK AND SUBMIT ALL APPLICABLE PLANS AND DOCUMENTS)

### REQUIRED

A.  A CORPS OF ENGINEERS AND/or CTUIR-APPROVED WETLAND DELINEATION FOR PROJECTS WITH WETLAND IMPACTS.  
B.  POLLUTION PREVENTION AND EROSION CONTROL PLAN AND/OR STORM WATER MANAGEMENT PLAN.  
C.  SEDIMENT AND OTHER WATER QUALITY PARAMETER ANALYSES AND/OR PROOF THAT TRIBAL WATER QUALITY STANDARDS WILL NOT BE VIOLATED.  
D.  WETLAND, AND/OR WATER QUANTITY OR WATER QUALITY MITIGATION PLAN(S), INCLUDING: TYPE, SIZE, LOCATION, METHODS OF CONSTRUCTION, AND PLANTING AND MONITORING PLANS.

### OPTIONAL

E.  SPECIES SURVEYS FOR FISH, AQUATIC SPECIES, PLANTS AND THREATENED OR ENDANGERED SPECIES.  
F.  ANY WATER QUALITY MONITORING DATA, WATER QUALITY MODELING RESULTS, OR OTHER ENVIRONMENTAL STUDIES WHICH ARE APPLICABLE TO PROPOSED ACTIVITY.  
G.  OTHER INFORMATION THAT ALLOWS THE DIRECTOR OF THE DEPARTMENT OF NATURAL RESOURCES TO DETERMINE THE IMPACTS TO WATER QUANTITY, QUALITY AND RIPARIAN RESOURCES.  
H.  PHOTOGRAPHS OF THE PROJECT SITE. INDICATE WHERE THEY WERE TAKEN ON THE OVERHEAD VIEW OF THE PROJECT PLANS.

## SECTION 9 - THIS SECTION MUST BE COMPLETED FOR ANY PERMIT COVERED BY THIS APPLICATION

APPLICATION IS HEREBY MADE FOR A 401 CERTIFICATION OF A PERMIT TO AUTHORIZE THE ACTIVITIES DESCRIBED HEREIN. I CERTIFY THAT I AM FAMILIAR WITH THE INFORMATION CONTAINED IN THIS APPLICATION, AND THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF, SUCH INFORMATION IS TRUE, COMPLETE, AND ACCURATE. I FURTHER CERTIFY THAT I POSSESS THE AUTHORITY TO UNDERTAKE THE PROPOSED ACTIVITIES.

SIGNATURE OF APPLICANT:	DATE:
I (APPLICANT) HEREBY DESIGNATE _____ TO ACT IN MATTERS RELATED TO THIS APPLICATION FOR CERTIFICATION. I UNDERSTAND THAT IF A FEDERAL PERMIT IS ISSUED, I MUST SIGN THE PERMIT.	DATE:
SIGNATURE OF APPLICANT:	DATE:
SIGNATURE OF AUTHORIZED AGENT:	

**THIS APPLICATION MUST BE SIGNED BY THE APPLICANT AND THE AGENT, IF AN AUTHORIZED AGENT IS DESIGNATED.**

APPLICANT MUST SUBMIT A COPY OF THIS COMPLETED APPLICATION FORM TO:

CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION  
DIRECTOR OF DEPARTMENT OF NATURAL RESOURCES  
46411 TIMINE WAY  
PENDLETON, OR 97801

FOR ASSISTANCE IN COMPLETING THIS APPLICATION, PLEASE CALL THE WATER RESOURCES PROGRAM AT 541.429.7273 OR EMAIL [WATERRESOURCES@CTUIR.ORG](mailto:WATERRESOURCES@CTUIR.ORG)